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Practitioner's Docket No. MI22-1568

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Agarwal, Vishnu K.  
Application No.: 09/879,335 ✓  
Group No.: 2813  
Filed: June 11, 2001  
Examiner: Y. Huynh  
For: Capacitor Forming Methods and Capacitor Constructions

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AUG 21 2002  
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents  
Art Unit 2813  
Washington, D.C. 20231

✓  
**AMENDMENT, PETITION AND FEE TO DELETE AND/OR  
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED INVENTOR(S)  
IN DECLARATION--NONPROVISIONAL APPLICATION--(37 C.F.R. Section  
1.48(a))**

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. section 1.48(a) as set forth and filed on June 11, 2001.
2. Addition and/or Deletion of Inventor(s)

Add the following previously unnamed person(s) as inventor(s) of this application:

Kunal R. Parekh  
Randhir P.S. Thakur

08/16/2002 CHUYEN 00000013 09879335

01 FC:122

130.00 DP

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

Signature \_\_\_\_\_

(type or print name of person certifying)

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration--  
Nonprovisional Application--(37 C.F.R. section 1.48(a))--page 1 of 2)



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AUG 21 2002  
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Application No.: 09/879,335  
Date of filing: June 11, 2001  
Name of Patentee: Micron Technology, Inc.  
Title of Invention: Capacitor Forming Methods and Capacitor Constructions

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: Supervisory Patent Examiner, Unit 2813

**STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY  
PETITION TO CHANGE INVENTORSHIP IN PATENT APPLICATION  
(37 C.F.R. Section 1.48(a)(2))**

I, the person who is being added as an inventor by the petition being submitted to correct the inventorship of this patent application do hereby declare that the inventorship error in failing to include my name as an inventor on this patent application occurred without any deceptive intention on my part.

Date: \_\_\_\_\_

\_\_\_\_\_  
Kunal R. Parekh

Date: 7/31/02

Randhir P.S. Thakur  
Randhir P.S. Thakur



Practitioner's Docket No. MI22-1568

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 09/879,335  
Date of filing: June 11, 2001  
Name of Patentee: Micron Technology, Inc.  
Title of Invention: Capacitor Forming Methods and Capacitor Constructions

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: Supervisory Patent Examiner, Unit 2813

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(37 C.F.R. Section 1.48(a)(2))**

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Date: 7/10/02

  
Kunal R. Parekh

Date: \_\_\_\_\_

\_\_\_\_\_  
Randhir P.S. Thakur



Hm



09/879,335

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**DECLARATION OF CONTINUATION-IN-PART FOR PATENT APPLICATION**



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: "Capacitor Forming Methods and Capacitor Constructions", Serial No. 09/879,335, filed June 11, 2001.

This application in part discloses and claims subject matter disclosed in earlier filed pending application, "Semiconductor Processing Method" Serial No. 09/710,546, filed November 8, 2000;

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I hereby claim benefit under Title 35, United States Code, §120 in connection with said earlier filed application;

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56, including any such information which became available between the filing date of the prior application and the national or PCT international filing date of this application.

As to the subject matter of this application, which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof or

patented or described in any printed publication in any country before our invention thereof, or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application;

The common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application; and

As to applications for patents or inventor's certificate on the common subject matter filed in any country foreign to the United States of America, prior to said earlier application by me or my legal representatives or assigns, I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

No such applications have been filed.

As to the subject matter of this application which is not common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof or patented or described in any printed publication in any country before our invention thereof, or more than one year prior to this application, or in public

use or on sale in the United States of America more than one year prior to this application;

Said non-common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; and

As to applications for patents or inventor's certificate on the invention filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and certificate having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

No such applications have been filed.

\*\*\*\*\*

Full name of inventor: Vishnu K. Agarwal

Inventor's Signature: 

Date: 7/10/02

Residence: Boise, Idaho

Citizenship: US

Post Office Address: 2463 E. Red Cedar Lane  
Boise, Idaho

\*\*\*\*\*

Full name of inventor: F. Daniel Gealy

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Kuna, Idaho

Citizenship: US

Post Office Address: 4300 Junayo Lane  
Kuna, Idaho

\*\*\*\*\*

Full name of inventor: Kunal R. Parekh

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Boise, Idaho

Citizenship: US

Post Office Address: 677 North Morningside Way  
Boise, Idaho 83712

\*\*\*\*\*

Full name of inventor: Randhir P.S. Thakur

Inventor's Signature: Randhir P.S. Thakur

Date: 7/31/02

Residence: San Jose, California

Citizenship: ~~India~~ USA

Post Office Address: 5261 Appennines Circle  
San Jose, California 95138

\*\*\*\*\*

Full name of inventor: F. Daniel Gealy

Inventor's Signature: F. Daniel Gealy

Date: 7/12/02

Residence: Kuna, Idaho

Citizenship: US

Post Office Address: 4300 Junayo Lane  
Kuna, Idaho

\*\*\*\*\*

Full name of inventor: Kunal R. Parekh

Inventor's Signature: Kunal R. Parekh

Date: 7/10/02

Residence: Boise, Idaho

Citizenship: US

Post Office Address: 677 North Morningside Way  
Boise, Idaho 83712

\*\*\*\*\*

Full name of inventor: Randhir P.S. Thakur

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: San Jose, California

Citizenship: India

Post Office Address: 5261 Appennines Circle  
San Jose, California 95138



Practitioner's Docket No. MI22-1568

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Agarwal, Vishnu K.  
Application No.: 09/879,335  
Group No.: 2813  
Filed: June 11, 2001  
Examiner: Y. Huynh  
Titled: Capacitor Forming Methods and Capacitor Constructions

**Assistant Commissioner for Patents**  
**Attn: Art Unit 2813**  
**Washington, D.C. 20231**

**ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)**

**Name of Assignee:**

Micron Technology, Inc.

**Address of Assignee:**

8000 South Federal Way  
Boise, ID 83716

1. A first assignment for the originally named inventors was recorded on June 11, 2001 as evidenced by the attached Notice of Recordation at:

Reel 11902  
Frame 0001

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

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**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

\_\_\_\_\_  
Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
(type or print name of person certifying)

(Assent of Assignee to correction and/or Addition of Inventor(s)--page 1 of 2)

2. A second assignment for the added inventors is submitted herewith.
3. Assignee hereby assents to the correction of inventorship filed herewith.

**RIGHT OF ASSIGNEE TO TAKE ACTION**

A "STATEMENT UNDER 37 C.F.R. section 3.73(b)" is attached.

Date: 7-16-02

  
\_\_\_\_\_  
Signature

Michael L. Lynch, Esq., Chief Patent Counsel



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 28, 2001

PTAS  
WELLS, ST. JOHN, ROBERTS, GREGORY  
& MATKIN P.S.  
JAMES E. LAKE  
601 W. FIRST AVENUE, STE. 1300  
SPOKANE, WA 99201-3828



\*101751507A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/11/2001

REEL/FRAME: 011902/0001  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AGARWAL, VISHNU K.

DOC DATE: 05/21/2001

ASSIGNOR:

GEALY, F. DANIEL

DOC DATE: 05/21/2001

ASSIGNEE:

MICRON TECHNOLOGY, INC.  
8000 SOUTH FEDERAL WAY  
BOISE, IDAHO 83716

SERIAL NUMBER: 09879335

PATENT NUMBER:

FILING DATE: 06/11/2001

ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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AUG 21 2002  
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